

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian T. Dawson Examiner: Scott S. Trotter
Application No.: 09/972,193 Confirmation No.: 3111
Filing Date: October 9, 2001 Art Unit: 3694
For: APPARATUS AND METHODS FOR HANDLING TRADING DATA

Mail Stop Amendment
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT

Sir:

Applicant hereby amends the above-identified patent application as follows:

Amendments of the Claims are reflected in the listing of claims that begins on page 2 of this Reply.

Remarks begin on page 16 of this Reply.

Conclusion begins on page 17 of this Reply.

Authorization begins on page 19 of this Reply.